STATE OF RHODE ISLAND DEPARTMENT OF HEALTH THREE CAPITOL HILL PROVIDENCE, RHODE ISLAND 02908

In the Matter of:

Case No.: 21-0969

Cleondra Jean King,

Board of Nursing Assistants

Respondent.

DECISION

I. INTRODUCTION

This matter arose pursuant to a Notice of Hearing and Specification of Charges ("Notice") issued to Cleondra Jean King ("Respondent") by the Department of Health ("Department") on January 31, 2022. The Respondent holds a registration as a nursing assistant pursuant to R.I. Gen. Laws § 23-17.9-1 et seq. A hearing was scheduled for February 24, 2022 at which time the Respondent did not appear at the hearing. Pursuant to Section 4.6.1 of 216-RICR-10-05-4 Practices and Procedures Before the Rhode Island Department of Health Regulation ("Hearing Regulation"), service may be made by hand-delivery or first class mail and service is complete upon mailing, even if unclaimed or returned, when sent to the last known address of the party. In this matter, the Notice was delivered to Respondent's last known address by first class and certified mail.\(^1\) Since the Respondent was adequately noticed of hearing, a hearing was held before the undersigned on February 24, 2022.\(^2\) Additionally, Section 4.13.2 of the Hearing Regulation provides that a judgment may be entered based on pleadings and/or evidence submitted at hearing by a non-defaulting party. The Department was represented by counsel who rested on the record.

¹ See Department's Exhibits One (1) (Respondent's licensing history with last known address on record with Department); and Three (3) (Notice indicating mailing address at last known address). The Notice was also sent by electronic delivery to the Respondent's email address contained in her licensing history. *Id.*

² Pursuant to a delegation of authority by the Director of the Department of Health.

II. JURISDICTION

The administrative hearing was held pursuant to R.I. Gen. Laws § 42-18-1 et seq., R.I. Gen. Laws § 23-17.9-1 et seq., R.I. Gen. Laws § 42-35-1 et seq., and the Hearing Regulation.

III. ISSUE

Whether the Respondent violated R.I. Gen. Laws § 23-17.9-8 and 216-RICR-40-05-22 Nursing Assistants, Medication Aides, and the Approval of Nursing Assistant and Medication Aide Training Programs ("Licensing Regulation") and if so, what is the appropriate sanction.

IV. MATERIAL FACTS

Based on the pleadings and exhibits, the Respondent failed to report to work for the 9:00 a.m. to 4:00 p.m. shift at a private residence to care for two (2) clients. The Respondent did not contact the agency about her absence. See Department's Exhibits Two (2) (complaint to Department from agency employing Respondent); and Three (3) (Notice).

V. <u>DISCUSSION</u>

A. Legislative Intent

The Rhode Island Supreme Court has consistently held that it effectuates legislative intent by examining a statute in its entirety and giving words their plain and ordinary meaning. *In re Falstaff Brewing Corp.*, 637 A.2d 1047 (R.I. 1994). If a statute is clear and unambiguous, "the Court must interpret the statute and must give the words of the statute their plain and ordinary meanings." *Oliveira v. Lombardi*, 794 A.2s 453, 457 (R.I. 2002) (citation omitted). The Supreme Court has also established that it will not interpret legislative enactments in a manner that renders them nugatory or that would produce an unreasonable result. See *Defenders of Animals v. DEM*, 553 A.2s 541 (R.I. 1989) (citation omitted).

B. Standard of Review for an Administrative Hearing

It is well settled that in formal or informal adjudications modeled on the Federal Administrative Procedures Act, the initial burdens of production and persuasion rest with the moving party. 2 Richard J. Pierce, Administrative Law Treatise § 10.7 (2002). Unless otherwise specified, a preponderance of the evidence is generally required in order to prevail. *Id.* See *Lyons v. Rhode Island Pub. Employees Council 94*, 559 A.2d 130m 34 (R.I. 1989) (preponderance standard is the "normal" standard in civil cases). This means that for each element to be proven, the fact-finder must believe that the facts asserted by the proponent are more probably true than false. *Id.* When there is no direct evidence on a particular issue, a fair preponderance of the evidence may be supported by circumstantial evidence. *Narragansett Electric Co. v. Carbone*, 898 A.2d 87 (R.I. 2006).

C. Relevant Statute and Regulation

R.I. Gen Laws § 23-17.9-8 provides as follows:

Disciplinary proceedings. — The department may suspend or revoke any certificate of registration issued under this chapter or may reprimand, censure, or otherwise discipline or may deny an application for registration in accordance with the provisions of this section upon decision and after a hearing as provided by chapter 35 of title 42, as amended, in any of the following cases:

(6) Any other causes that may be set forth in regulations promulgated under this chapter.

Section 22.6 of the Licensing Regulation provides as follows:

A. Pursuant to R.I. Gen. Laws §§ 23-17.9-8 and 23-17.9-9, and upon a decision after a hearing as provided in accordance with the Rhode Island Administrative Procedures Act and the Rules and Regulations Pertaining to Practices and Procedures Before the Rhode Island Department of Health (Part 10-05-4 of this Title), the Department may deny, suspend, or revoke a license issued under this Part, or may reprimand, censure, or otherwise discipline an individual who has been found guilty of violations of the Act or this Part in any of the following cases:

1. Upon proof of any of the cases stated in R.I. Gen. Laws §§ 23-17.9-8(1) through 23-17.9-8(5)

2. Upon proof that the nursing assistant or medication aide has engaged in unprofessional conduct including, but not limited to, departure from, or failure to conform to, the standards of acceptable and prevailing practice.

D. Whether Responded Violated R.I. Gen. Laws § 23-17.9-8 and/or Licensing Regulation

Based on the pleadings and the undisputed evidence, the Respondent failed to report to work for the 9:00 a.m. to 4:00 p.m. shift at a private residence to care for two (2) clients. She failed to contact her employer about her absence. The failure by the Respondent to report to work is unprofessional as she left her clients without care. Therefore, she violated R.I. Gen. Laws § 27-17.9-8(6) (violated regulation); and § 22.6(A)(2) of the Licensing Regulation (unprofessional behavior).

The Department sought a public reprimand of the Respondent's nursing assistant registration. As the Respondent did not appear at hearing, no reason was shown to vary from the Department's requested sanction.

VI. FINDINGS OF FACT

- 1. The Respondent is registered as a nursing assistant pursuant to R.I. Gen. Laws § 23-17.9-1 et seq.
- 2. A Notice was sent by the Department to Respondent on January 31, 2022 to the Respondent's most recent address on record with the Department.
- 3. A hearing was scheduled for February 24, 2022 at which time the Respondent did not appear. As the Respondent had adequate notice of hearing, the undersigned held the hearing that day.
 - 4. The facts contained in Section IV and V are reincorporated by reference herein.

VII. CONCLUSIONS OF LAW

Based on the foregoing, the Respondent violated R.I. Gen. Laws § 23-7.9-8(6) and violated Section 22.6(A)(2) of the Licensing Regulation. Pursuant to R.I. Gen. Laws § 23-17.9-8, the undersigned recommends that a public reprimand on the Respondent's registration as a nursing assistant be issued.

Entered: March 4, 2022

Catherine R. Warren, Esquire

Hearing Officer

ORDER

I have read the Hearing Officer's Decision and Recommendation in this matter, and I hereby take the following action with regard to the Decision and Recommendation:

X ADOPT
REJECT
MODIFY

Dated: March 4, 2022

James McDonald, M.D. Acting Director

NOTICE OF APPELLATE RIGHTS

THIS DECISION CONSTITUTES A FINAL ORDER OF THE DEPARTMENT OF HEALTH PURSUANT TO R.I. GEN. LAWS § 42-35-12. PURSUANT TO R.I. GEN. LAWS § 42-15-15, THIS ORDER MAY BE APPEALED TO THE SUPERIOR COURT SITTING IN AND FOR THE COUNTY OF PROVIDENCE WITHIN THIRTY (30) DAYS OF THE MAILING DATE OF THIS DECISION. SUCH APPEAL, IF TAKEN, MUST BE COMPLETED BY FILING A PETITION FOR REVIEW IN SUPERIOR COURT. THE FILING OF THE COMPLAINT DOES NOT ITSELF STAY ENFORCEMENT OF THIS ORDER. THE AGENCY MAY GRANT, OR THE REVIEWING COURT MAY ORDER, A STAY UPON THE APPROPRIATE TERMS.

CERTIFICATION

I hereby certify on this day of March, 2022 that a copy of the within Decision and Notice of Appellate Rights was sent by first class mail and certified mail, return receipt requested and by electronic delivery to Ms. Cleondra Jean King, 5A Husted Court Unit 1, Providence, R.I. 02905 <u>cleoandra23@gmail.com</u> and by electronic delivery to Anita Flax, Esquire, and Linda Esposito, Board Manager, Department of Health, Three Capitol Hill, Providence, RI 02908.