STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS DEPARTMENT OF HEALTH THREE CAPITOL HILL PROVIDENCE, RHODE ISLAND 02908

Department of Health Health Services Regulation Board of Nursing Assistants,

DOH Case No.: 18-1239

 \mathbb{V}_{\bullet}

Ashley Velasquez, Respondent.

DECISION

I. INTRODUCTION

This matter arose pursuant to a Notice of Hearing and Specification of Charges ("Notice") issued to Ashley Velasquez ("Respondent") by the Department of Health ("Department") on February 8, 2019. The Respondent holds a license ("License") as a certified nursing assistant ("CNA") pursuant to R.I. Gen. Laws § 23-17.9-1 et seq. A hearing was scheduled for March 7, 2019 at which time the Respondent did not appear. Pursuant to Section 4.6.1 of the 216-RICR-10-05-4 Practices and Procedures Before the Rhode Island Department of Health Regulation ("Hearing Regulation"), service may be made by hand-delivery or first class mail and service is complete upon mailing, even if unclaimed or returned, when sent to the last known address of the party. In this matter, the Notice was delivered to Respondent's last known address by first class and certified mail. Since the Respondent was adequately noticed of hearing, a hearing was held before the undersigned on March 7, 2019. Additionally, Section 4.13.2 of the Hearing Regulation

¹ See testimony below.

² Pursuant to a delegation of authority by the Director of the Department of Health.

provides that a judgment may be entered based on pleadings and/or evidence submitted at hearing by a non-defaulting party. The Department was represented by counsel who rested on the record.

II. <u>JURISDICTION</u>

The administrative hearing was held pursuant to R.I. Gen. Laws § 42-18-1 et seq., R.I. Gen. Laws § 23-17.9-1 et seq., R.I. Gen. Laws § 42-35-1 et seq., and the Hearing Regulation.

III. ISSUE

Whether the Respondent violated R.I. Gen. Laws § 23-17.9-8 and the 216-RICR-40-05-22 Nursing Assistants, Medication Aides, and the Approval of Nursing Assistant and Medication Aide Training Programs Regulation ("Licensing Regulation") and if so, what is the appropriate sanction.

IV. TESTIMONY AND MATERIAL FACTS

Linda Esposito, CNA board manager, testified on behalf of the Department. She testified that the Notice was sent to the Respondent's last known address on record with the Department and that the regular mail was not returned but the certified mail was returned as "unclaimed." She testified that the Department received a complaint regarding the Respondent stealing from patients and the Department then received a police report regarding the Respondent in which she admitted to stealing from a patient. See Department's Exhibits One (1) (Respondent's licensing history with the Department including last known address); Two (Notice); Three (3) (United States Post Office certified mail tracking sheet showing Notice sent by certified mail was "unclaimed"); Four (4) (complaint); and Six (6) (Johnston police report in which the Respondent admitted stealing cash and jewelry from a patient in her care).

V. <u>DISCUSSION</u>

A. Legislative Intent

The Rhode Island Supreme Court has consistently held that it effectuates legislative intent by examining a statute in its entirety and giving words their plain and ordinary meaning. *In re Falstaff Brewing Corp.*, 637 A.2d 1047 (R.I. 1994). If a statute is clear and unambiguous, "the Court must interpret the statute and must give the words of the statute their plain and ordinary meanings." *Oliveira v. Lombardi*, 794 A.2s 453, 457 (R.I. 2002) (citation omitted). The Supreme Court has also established that it will not interpret legislative enactments in a manner that renders them nugatory or that would produce an unreasonable result. See *Defenders of Animals v. DEM*, 553 A.2d 541 (R.I. 1989) (citation omitted). In cases where a statute may contain ambiguous language, the Rhode Island Supreme Court has consistently held that the legislative intent must be considered. *Providence Journal Co. v. Rodgers*, 711 A.2d 1131 (R.I. 1998).

B. Standard of Review for an Administrative Hearing

It is well settled that in formal or informal adjudications modeled on the Federal Administrative Procedures Act, the initial burdens of production and persuasion rest with the moving party. 2 Richard J. Pierce, Administrative Law Treatise § 10.7 (2002). Unless otherwise specified, a preponderance of the evidence is generally required in order to prevail. *Id.* See *Lyons v. Rhode Island Pub. Employees Council 94*, 559 A.2d 130m 34 (R.I. 1989) (preponderance standard is the "normal" standard in civil cases). This means that for each element to be proven, the fact-finder must believe that the facts asserted by the proponent are more probably true than false. *Id.* When there is no direct evidence on a particular issue, a fair preponderance of the evidence may be supported by circumstantial evidence. *Narragansett Electric Co. v. Carbone*, 898 A.2d 87 (R.I. 2006).

C. Relevant Statute and Regulation

R.I. Gen Laws § 23-17.9-8 provides as follows:

Disciplinary proceedings. – The department may suspend or revoke any certificate of registration issued under this chapter or may reprimand, censure, or otherwise discipline or may deny an application for registration in accordance with the provisions of this section upon decision and after a hearing as provided by chapter 35 of title 42, as amended, in any of the following cases:

(1) Upon proof that the nursing assistant is unfit or incompetent by reason of negligence, habits, or other causes;

(5) Has engaged in conduct detrimental to the health, welfare and safety of patients/residents in his or her care.

Section 22.6 of the Licensing Regulation provides as follows:

A. Pursuant to R.I. Gen. Laws §§ 23-17.9-8 and 23-17.9-9, and upon a decision after a hearing as provided in accordance with the Rhode Island Administrative Procedures Act and the Rules and Regulations Pertaining to Practices and Procedures Before the Rhode Island Department of Health (Part 10-05-4 of this Title), the Department may deny, suspend, or revoke a license issued under this Part, or may reprimand, censure, or otherwise discipline an individual who has been found guilty of violations of the Act or this Part in any of the following cases:

2. Upon proof that the nursing assistant or medication aide has engaged in unprofessional conduct including, but not limited to, departure from, or failure to conform to, the standards of acceptable and prevailing practice.

D. Whether Respondent Violated R.I. Gen. Laws § 23-17.9-8 and/or the Licensing Regulation

Based on the Respondent's actions, the Department sought revocation of the Respondent's License.

Based on the testimony, the pleadings, and the undisputed evidence, the Respondent stole jewelry and cash from a patient in her care. The Respondent's actions violated R.I. Gen. Laws § 23-17.9-8(2) (unfit) and (5) (conduct detrimental to health and safety of patient). The Respondent's actions also violated 22.6(A)(1) (unprofessional conduct) of the Licensing Regulation.

VI. FINDINGS OF FACT

- 1. The Respondent is licensed as a nursing assistant pursuant to R.I. Gen. Laws § 23-17.9-1 et seq.
- A Notice was sent by the Department to Respondent on February 8, 2019 to the 2. Respondent's most recent address on record with the Department.
- 3. A hearing was scheduled for March 7, 2019 at which time the Respondent did not appear. As the Respondent had adequate notice of hearing, the undersigned held the hearing that day.
 - 4. The facts contained in Section IV and V are reincorporated by reference herein.

CONCLUSIONS OF LAW VII.

Based on the foregoing, the Respondent violated R.I. Gen. Laws § 23-7.9-8(1) and (5) and violated Section 22(A)(2) of the Licensing Regulation and pursuant to R.I. Gen. Laws § 23-17.9-8, the undersigned recommends that the Respondent's License shall be revoked immediately.

Entered this day 27 March, 2019.

Catherine R. Warren, Esquire Hearing Officer

ORDER

I have read the Hearing Officer's Decision and Recommendation in this matter, and I hereby take the following action with regard to the Decision and Recommendation:

ADOPT

REJECT

MODIFY

Nicole Alexander-Scott, M.D.

Director

NOTICE OF APPELLATE RIGHTS

THIS DECISION CONSTITUTES A FINAL ORDER OF THE DEPARTMENT OF HEALTH PURSUANT TO R.I. GEN. LAWS § 42-35-12. PURSUANT TO R.I. GEN. LAWS §42-15-15, THIS ORDER MAY BE APPEALED TO THE SUPERIOR COURT SITTING IN AND FOR THE COUNTY OF PROVIDENCE WITHIN THIRTY (30) DAYS OF THE MAILING DATE OF THIS DECISION. SUCH APPEAL, IF TAKEN, MUST BE COMPLETED BY FILING A PETITION FOR REVIEW IN SUPERIOR COURT. THE FILING OF THE COMPLAINT DOES NOT ITSELF STAY ENFORCEMENT OF THIS ORDER. THE AGENCY MAY GRANT, OR THE REVIEWING COURT MAY ORDER, A STAY UPON THE APPROPRIATE TERMS.

CERTIFICATION

I hereby certify on this day of March, 2019 that a copy of the within Decision and Notice of Appellate Rights was sent by first class mail and certified mail, return receipt requested to Ms. Ashley Velasquez, 100 Park Place, Apt. 306, Pawtucket, R.I. 02860 and by hand-delivery to Anita Flax, Esquire, and Linda Esposito, Board Manager, Department of Health. Three Capitol Hill, Providence, RI 02908.